



Date of Publication – 30-Apr-2021

Period Covered – 2020

Commission Delegated Regulation (EU) 2017/576 of 8 June 2016 (RTS 28)

Class of Instrument	Currency derivatives; Swaps, forwards, and other currency derivatives (Annex I (e) (ii))				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
REUTERS TRANSACTION SERVICES LIMITED (TRAL)	42.4%	83.2%	n/a	n/a	0%
UBS AG (BFM8T61CT2L1QCCEMIK50)	9.5%	1.9%	n/a	n/a	0%
THE TORONTO-DOMINION BANK (PT3QB789TSUIDF371261)	6.4%	2.0%	n/a	n/a	0%
CITIBANK, NATIONAL ASSOCIATION (E57ODZWZ7FF32TWEFA76)	6.2%	0.7%	n/a	n/a	0%
GOLDMAN SACHS INTERNATIONAL (W22LROWP2IHZNBB6K528)	5.2%	0.7%	n/a	n/a	0%



Date of Publication – 30-Apr-2021

Period Covered – 2020

Commission Delegated Regulation (EU) 2017/576 of 8 June 2016 (RTS 28)

Class of Instrument	Debt instruments; Money market instruments (Annex I (b) (ii))				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
THE TORONTO-DOMINION BANK (PT3QB789TSUIDF371261)	82.8%	81.3%	n/a	n/a	0%
CREDIT AGRICOLE CORPORATE AND INVESTMENT BANK (1VUV7VQFKUOQSJ21A208)	17.2%	18.8%	n/a	n/a	0%
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a



Date of Publication – 30-Apr-2021

Period Covered – 2020

Commission Delegated Regulation (EU) 2017/576 of 8 June 2016 (RTS 28)

Class of Instrument	Interest rates derivatives; Swaps, forwards, and other interest rates derivatives (Annex I (c) (ii))				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
ROYAL BANK OF CANADA (ES7IP3U3RHIGC71XBU11)	80.0%	84.8%	n/a	n/a	0%
HSBC BANK PLC (MP6I5ZYZBEU3UXPYFY54)	20.0%	15.2%	n/a	n/a	0%
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a



Date of Publication – 30-Apr-2021

Period Covered – 2020

Commission Delegated Regulation (EU) 2017/565 of 25 April 2016 – Article 65(6)

Class of Instrument	Equity derivatives; Options and Futures admitted to trading through a broker (Annex I (g) (i))				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
HSBC BANK PLC (MP6I5ZYZBEU3UXPYFY54)	100%	100%	n/a	n/a	0%
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a



Date of Publication – 30-Apr-2021

Period Covered – 2020

Commission Delegated Regulation (EU) 2017/565 of 25 April 2016 – Article 65(6)

Class of Instrument	Interest rates derivatives; Options and Futures admitted to trading through a broker (Annex I (c) (i))				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
HSBC BANK PLC (MP615ZYZBEU3UXPYFY54)	100%	100%	n/a	n/a	0%
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a



Date of Publication – 30-Apr-2021

Period Covered – 2020

Commission Delegated Regulation (EU) 2017/565 of 25 April 2016 – Article 65(6)

Class of Instrument	Commodities derivatives; Options and Futures admitted to trading through a broker (Annex I (i) (i))				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
ADM INVESTOR SERVICES, INC. (I908MELCUVOTLJABOX92)	69.7%	74.1%	n/a	n/a	0%
ADM INVESTOR SERVICES INTERNATIONAL LIMITED (213800GNABQM2M887812)	30.3%	25.9%	n/a	n/a	0%
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a



Date of Publication – 30-Apr-2021

Period Covered – 2020

Commission Delegated Regulation (EU) 2017/565 of 25 April 2016 – Article 65(6)

Class of Instrument	Debt instruments; Money market instruments (Annex I (b) (ii))				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
THE TORONTO-DOMINION BANK (PT3QB789TSUIDF371261)	100%	100%	n/a	n/a	0%
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a